

Can the Six-Minute Step Test Predict the VO_2 Peak in Healthy Young Men?

CRISTIANE TRAVENSOLO¹, WALACE MONTEIRO², TAINAH LIMA³, ROBERTA PINTO⁴, PAULO FARINATTI⁵, MARCOS POLITO⁶

ABSTRACT

Introduction: The six-minute step test can estimate the oxygen consumption in patients with chronic obstructive pulmonary disease. However, the literature is scarce regarding to six-minute step test application to health and young subjects.

Aim: To correlate peak oxygen consumption (VO_2 peak) obtained in a Cardiopulmonary Exercise Test (CPX) with performance in the 6-Minute Step Test (6MST) in a group of healthy young men.

Materials and Methods: In a prospective observational cross-sectional study, thirty-one young healthy men (22.3 ± 2.2 years) were volunteers. The study was conducted during two non-

consecutive days. On the first day, the VO_2 peak was obtained using CPX on a treadmill. After 72 hours, the 6MST (20 cm of height) was performed at a self-selected cadence. The reproducibility of the 6MST was tested in 14 subjects, 30 minutes after the first 6MST.

Results: The 6MST demonstrated reproducibility (ICC=0.977; 95%CI 0.932 to 0.992). There was a significant correlation between the total number of steps and heart rate in the 6th minute of the 6MST ($r=0.794$; $p<0.001$). The multiple regression did not identify variables that could be associated with the VO_2 peak.

Conclusion: The 6MST has no power to estimate the VO_2 peak in healthy young men.

Keywords: Cardiorespiratory fitness, Exercise test, Peak oxygen consumption, Physical effort, Regression analysis

INTRODUCTION

Regular physical activity and exercise is associated with numerous physical and mental health benefits and a delay in mortality from all causes in both healthy individuals and patients with cardiovascular disease [1-3].

For the exercise to be performed safely, a prior full assessment of maximum oxygen consumption (VO_{2max}) is recommended, and the gold standard test is the Cardiopulmonary Exercise Test (CPX) [4,5]. However, CPX requires specific equipment, a suitable place, and trained personnel [4], in addition to being relatively expensive and time consuming [3]. In terms of practical application, when only an estimate of VO_{2max} is required, the use of simpler tests, applicable on a large scale, may be a viable strategy [6]. For example, step tests are low cost, can be easily transported, require little practice to perform, and usually have a short duration [7-9]. There are several step test protocols, with differences in the height of the step, test duration, frequency of cadence, and number of stages [9]. In patients with chronic diseases, a six-minute step test has been used, self-cadenced with a step height of 20 cm (6MST) [10-17].

On the other hand, in healthy individuals, the scientific literature demonstrates a predominance of the use of step tests with a pre-set cadence [9]. However, the self-cadenced test model, in the 6MST, may also be useful for healthy individuals, as it allows the frequency of the cadence to be individually adjusted during the total test time, so there is no need to choose a single step test protocol which may not promote the best work intensity, especially when evaluating individuals with different levels of physical fitness [9,18]. Nevertheless, further information on the applicability of the 6MST in healthy individuals can be obtained by comparing performance in the step test with direct oxygen consumption measured in a progressive test. To our knowledge, this information is not available in the literature and could allow VO_2 peak prediction through 6MST performance in healthy young people.

Thus, the objective of the present study was to verify the correlation between VO_2 peak obtained in the CPX and performance in the 6MST in a group of healthy young men, and analyse the power of the 6MST to predict VO_2 peak.

MATERIALS AND METHODS

Following a transversal design, this study was conducted during spring/summer (September-December of south hemisphere) of 2013 after being approved by the Ethics Committee on Human Research of the State University of Londrina, Brazil (243/2013). All subjects agreed to participate and all signed a written informed consent in accordance with Helsinki Declaration.

The calculation of sample size (two-tailed $\alpha = 0.05$, $\beta = 0.20$, and expected correlation of 0.50) showed requirement of a minimum of 29 subjects. For the sample selection, graduate students of Londrina State University, aged 18 to 27 years were invited. In total, 34 young males (healthy, normotensive and recreationally active) were included, not undergoing any drug treatment and without orthopedic or equilibrium alterations or any other conditions that could limit the tests.

Experimental Procedure

The tests were performed in a climatized laboratory (22°C to 24°C), in the evening to avoid alterations in circadian rhythm. The participants were instructed not to perform physical exercise in the 24 hours prior to the test, not to smoke or drink caffeine for three hours before the collections, not to drink alcohol for a period of 48 hours before testing and to wear sportswear and sneakers [7].

Participants attended the laboratory on two separate days with an interval of 72 hours between the applied exercise tests to allow relaxation of the muscles. On the first day, the subjects were instructed on how to respond to modified Borg CR10 scales on perceived exertion and fatigue of the lower limbs [19], they answered

the short version of the International Physical Activity Questionnaire (IPAQ) [20], and performed the CPX. On the second day, the 6MST was performed.

The CPX was conducted on an electric treadmill (Super ATLInbramed, Porto Alegre, Brazil). The test was programmed to have an average duration of 10 min, oscillating between 8 and 12 minute [21,22], using an individualized ramp protocol [23]. The start of the CPX was preceded by a three minute warm-up at a constant speed of 5 km/hr to provide a drop in the respiratory quotient (≤ 0.80), considered an optimum value to begin the test, and cause the metabolic and functional modifications necessary for the realization of the CPX. For the test to be considered maximal, the subjects were required to meet at least three of the following criteria: maximum voluntary exhaustion; Heart Rate (HR) $\geq 90\%$ of maximum HR estimated for age or lack of increase in HR through load increase at the end of the test; presence of a plateau in the VO₂ with the evolution of the loads to the end of the test; respiratory exchange ratio > 1.1 ; value of perceived exertion scale ≥ 10 on the Borg CR10 scale [24]. Considering possible limitations to identifying the VO_{2max} peak oxygen consumption was adopted (VO₂ peak), corresponding to the highest oxygen consumption obtained during the test. The VO₂ peak, pulmonary ventilation, carbon dioxide production, and HR were collected with an output frequency of 20 seconds, using an ULTIMA gas analyser (Medical Graphics, Saint Paul, United States). A medium flow pneumotachograph was used with a silicone mask (Hans Rudolph, Shawnee, United States). The HR was measured using a Polar V800 monitor (Polar, Kempele, Finland). The equipment was calibrated according to the manufacturer's instructions.

The perceived exertion was evaluated every minute of the test using the Borg CR10 Scale [19]. Due to the fact that the equipment used in the monitoring of ventilatory variables prevented verbalization of the scale values, a table was placed in front of the treadmill and an evaluator slid a ruler along the numbers, interrupting the movement at an indication from the participant.

The 6MST was performed on a step 20 cm high, 75 cm wide, and 40 cm deep, without a hand rest, and lasted for six minutes [10]. Before starting the test, all participants performed ascent and descent movements on the step a sufficient number of times to become familiar with the movement and step height. After familiarization, the participants remained in a sitting position for 15 minute. Then, they were required to ascend and descend the step as many times as possible for six minutes. If necessary, the individuals could sit down or decrease the speed of ascent and descent; however, the chronometer did not stop. Phrases of encouragement standardized by the American Thoracic Society for the six-minute walk test were used [25]. The HR and number of ascents/descents were recorded every minute of the 6MST. The scales of perceived exertion and lower limb fatigue were responded to immediately after the end of the 6MST.

Finally, in order to test the reproducibility of the 6MST, 14 subjects (approximately half of the minimum sample size) were randomly chosen to perform a further 6MST 30 minute after the first. The reproducibility was used to avoid the false positive error regarding the 6MST data.

STATISTICAL ANALYSIS

Initially the Shapiro-Wilk test was performed to verify the normality of the data. Normal data are presented as mean and standard deviation. Pearson's correlation was used to verify the correlation between the number of steps and heart rate in the 6th minute of the 6MST and between the number of steps in the 6MST and

VO₂ peak obtained in the CPX. Repeated measures ANOVA followed, when necessary, by the Bonferroni post-hoc was used to compare the number of steps from the 1st to 6th minute of the 6MST. The intraclass correlation coefficient was used to investigate the reproducibility of measurements between the first and second 6MST conducted in 14 subjects. A stepwise forward multiple regression model was used to examine associations of the variables heart rate in the 6MST, number of steps in the 6MST, the IPAQ, age, height, weight and BMI with the VO₂ peak obtained in the CPX. The significance level adopted was $p < 0.05$ and the data were processed in the program Statistica 15.0 (Statsoft, Tulsa, OK, USA).

RESULTS

Three participants were excluded (one did not perform the CPX and two did not perform the 6MST). Thus, the final sample consisted of 31 subjects. The characteristics of the sample, as well as results obtained in the CPX and 6MST are presented in [Table/Fig-1].

Variables	Subjects (n=31) Mean (standard deviation)
Age (years)	22.3 (2.2)
Height (m)	1.74 (0.7)
Weight (kg)	73.3 (10.1)
BMI (kg/m ²)	24.3 (2.7)
VO ₂ peak (mL/kg/min/1)	43.6 (4.9)
Total time of the CPX (min)	10.5 (2.1)
IPAQ (n)	
Very active	14
Active	11
Insufficiently active	5
Inactive	1
6MST	
Total	209.0 (58.0)
1 st min	35.0 (12.2)
2 nd min	34.0 (9.8)
3 rd min	34.0 (9.3)
4 th min	35.0 (9.9)
5 th min	34.0 (8.7)
6 th min	36.0 (10.5)
6MST HR/CPX HR peak (%)	81

[Table/Fig-1]: General characteristics of the sample.

BMI: Body Mass Index; VO₂ peak: peak of oxygen consumption; CPX: Cardiopulmonary Exercise Test; IPAQ: International Physical Activity Questionnaire; 6MST: 6-Minute Step Test; 6MST HR/CPX HR peak (%): percentage of heart rate in 6MST in relation to heart rate peak in CPX

There was a strong correlation between the total number of steps and heart rate in the 6th minute of the 6MST ($r=0.79$; $p<0.001$), however, there was no significant correlation between VO₂ peak in the CPX and the number of steps in the 6MST ($r=0.11$; $p=0.57$). Furthermore, there was no significant difference in the comparison of the number of steps from the 1st to 6th minute of the 6MST ($p>0.05$). The reproducibility of the 6MST was tested in 14 subjects, who performed two 6MSTs with a 30-minute interval between tests. The mean number of steps in the first test was 258.6 ± 37.6 and 257.0 ± 32.5 in the second test, with no statistical difference ($p=0.46$). Performance in the tests, verified by the number of steps in the first and second 6MSTs demonstrated excellent reproducibility (ICC=0.977; 95% CI 0.932 to 0.992).

[Table/Fig-2] illustrates the multiple regression analysis with the stepwise forward method used to verify associations of the independent variables: heart rate, number of steps in the 6MST, the IPAQ, age, height, weight, and BMI, with the dependent variable VO₂ peak obtained in the CPX. There was no association of any independent variable with the VO₂ peak.

Parameter	Beta coefficient	R ²	F	p-value
HR 1 st min	-0.21	0.04	1.29	0.27
HR 2 nd min	-0.05	0.003	0.08	0.78
HR 3 rd min	-0.08	0.01	0.18	0.67
HR 4 th min	-0.15	0.02	0.69	0.41
HR 5 th min	-0.20	0.04	1.19	0.28
HR 6 th min	-0.20	0.04	1.24	0.27
Steps 1 st min	0.02	0.0003	0.01	0.92
Steps 2 nd min	0.12	0.16	0.45	0.51
Steps 3 rd min	0.10	0.01	0.30	0.59
Steps 4 th min	0.10	0.01	0.29	0.60
Steps 5 th min	0.16	0.03	0.77	0.39
Steps 6 th min	0.12	0.01	0.41	0.53
Total steps	0.10	0.01	0.32	0.58
IPAQ	0.05	0.002	0.07	0.79
Age	-0.03	0.001	0.02	0.89
Height	-0.17	0.03	0.84	0.37
Weight	-0.14	0.02	0.56	0.46
BMI	-0.05	0.003	0.07	0.79

[Table/Fig-2]: . Data from the multiple regression analysis (stepwise forward) to compare the independent variables with VO₂ peak in the cardiopulmonary exercise testing.

HR: Heart Rate in the Six-Minute Step Test; IPAQ: International Physical Activity Questionnaire; BMI: Body Mass Index

DISCUSSION

The aim of the present study was to verify the correlation between VO₂ peak obtained in the CPX and performance in the 6MST in a group of healthy young men and analyse the power of 6MST to predict VO₂ peak. The results showed no correlation or prediction power.

Some hypotheses have been raised to explain the results, and the first refers to the form of implementation of the 6MST. The 6MST had a self-cadenced execution model [10], allowing the participants to merge greater and lower efforts over the six minutes. Apparently, this did not occur since the mean number of steps per minute did not vary significantly over the six-minute test period [Table/Fig-1]. This was confirmed by the high test reproducibility. On the other hand, the mean steps per minute of the sample cannot be considered low, as it falls within cadences reported in the literature for healthy individuals (15 to 35 steps per minute) [9].

Another hypothesis relates to the height of the step, which may have been insufficient to cause higher physical stimulus. The protocol employed in the 6MST used a 20 cm step, contrasting with other protocols, such as the YMCA Step Test (30.5 cm) [4] or the Canadian Home Fitness Test (seven stages for men, six for women, carried out with two steps of 20 cm each) [8]. Thus, some studies using step heights greater than 20 cm have shown significant correlations between performance in the step test and VO₂ in the CPX [3,24,25].

For example, one study used the YMCA Step Test in a sample of 97 healthy individuals, finding a strong correlation between the VO₂ peak obtained in the CPX and the step test in participants who failed to complete the step test (exercise capacity less than 9 METs) [3]. On the other hand, in the group of individuals who completed the trial (exercise capacity ≥ 9 METs and VO₂ peak of 37.8 \pm 9.0 mL/Kg/min) a moderate correlation was found between the VO₂ peak values obtained in the CPX and the step test. The authors attributed these results to the ceiling effect, since the step test was a submaximal exercise in this group. These results are consistent with the results observed in the present study where participants presented a higher exercise capacity (VO₂ peak=43.6 \pm 4.9 mL/kg/min) and no significant correlation was found ($r=0.105$; $p=0.57$) between the VO₂ peak in the CPX and the 6MST.

In another study, which used the Chester Step Test (composed of four height options and five stages), a strong correlation was observed ($r=0.92$; $p < 0.001$) between the VO₂ in the CPX and the VO₂ estimated in the step test [24]. However, some important

methodological differences were observed when comparing the sample and protocol of the above experiment with the present study. Firstly, when validating the Chester Step Test, the sample was found to be very heterogeneous, consisting of men and women aged 18 to 52-year-old with a VO₂ peak between 25 and 68 mL/Kg/min, different from the sample used in the present study, composed of young men (22.3 \pm 2.2 years) with a VO₂ peak ranging from 34.2 to 52 mL/Kg/min.

In addition, in the Chester Step Test protocol, the individuals were instructed to ascend the step in progressive rhythms with the evolution of the test, unlike the protocol of the present study, whose rate of increase was self selected by individuals. The Chester Step Test was interrupted when the volunteers reached an intensity corresponding to 80% of the maximal HR expected for age. On the other hand, in the present study, the test duration was fixed at 6 minute. Therefore, the sum of these aspects may have influenced the different correlations observed in these both experiments.

The characteristics of the sample may also have influenced the results. The sample of the present study was composed of young, healthy men, with a reasonable level of fitness (VO₂ peak=43.6 \pm 4.9 mL/kg/min). As the 6MST was self cadenced and the cadence did not vary, the mean steps per minute may have been insufficient to generate significant physiological modifications in the sample. On the other hand, in studies with other populations, such as obese women [26] and individuals with respiratory diseases [10,11,27], the 6MST appears to be a test model that presents power to estimate the fitness level.

The present experiment was based on the initial assumption that a step test with a self-selected ascent cadence, in which participants individually regulated the rate of ascent, could better estimate VO₂ peak in relation to tests with predetermined ascent rhythms. It was believed that a predetermined rate of ascent could represent a strong effort for the less conditioned individuals and a weak effort for the most conditioned individuals, negatively affecting the correlations between the variables obtained in the bench test and the VO₂ peak in the CPX. However, this hypothesis was not confirmed and the results showed that the adoption of a self-selected pace did not represent a useful strategy to implement the protocol when the variables obtained in the test were correlated with the VO₂ peak in the CPX. Other strategies should be sought to better correlate the data in the step test with VO₂ peak in healthy young individuals.

LIMITATION

Some individuals were not familiar with using a treadmill and it was not possible to submit these individuals to adaptation sessions on the treadmill prior to application of the CPX. Therefore, it is unclear to what extent the lack of familiarity of these individuals may have influenced the CPX results. However, it is important to note that the majority of individuals in the sample were used to walking and running on a treadmill and even those who were unfamiliar had previously conducted a CPX on an ergometer.

CONCLUSION

Performance in the 6MST, despite presenting high reproducibility in healthy young men, has no power to estimate the VO₂ peak based on the values obtained in the CPX.

ACKNOWLEDGEMENTS

This study was partially supported by grants from the Brazilian Council for Research Development (CNPq).

REFERENCES

- Garber C, Blissmer B, Deschenes MR, Franklin BA, Lamonte MJ, Lee IM, et al. American College of Sports Medicine position stand. Quantity and quality of exercise for developing and maintaining cardiorespiratory, musculoskeletal, and neuromotor fitness in apparently healthy adults: guidance for prescribing exercise. *Med Sci Sports Exerc.* 2011;43:1334-59.

- [2] Kodama S, Saito K, Tanaka S, Maki M, Yachi Y, Asumi M, et al. Cardiorespiratory fitness as a quantitative predictor of all-cause mortality and cardiovascular events in healthy men and women: a meta-analysis. *JAMA*. 2009;301:2024-35.
- [3] Beutner F, Ubrich R, Zachariae S, Engel C, Sandri M, Teren A, et al. Validation of a brief step-test protocol for estimation of peak oxygen uptake. *Eur J Prev Cardiol*. 2015;22:503-12.
- [4] Balady GJ, Arena R, Sietsema K, Myers J, Coke L, Fletcher GF, et al. Clinician's guide to cardiopulmonary exercise testing in adults: A scientific statement from the American heart association. *Circulation*. 2010;122:191-225.
- [5] Guazzi M, Adams V, Conraads V, Piepoli MF, Myers J, Lavie CJ. Clinical recommendations for cardiopulmonary exercise testing data assessment in specific patient populations. *Circulation*. 2012;126:2261-74.
- [6] Balderrama C, Ibarra G, de La Riva J, López S. Evaluation of three methodologies to estimate the VO₂max in people of different ages. *Appl Ergon*. 2010;42:162-68.
- [7] Noonan V, Dean E. Submaximal exercise testing: clinical application and interpretation. *Phys Ther*. 2000;80:782-807.
- [8] Reilly T, Tipton M. A sub-maximal occupational aerobic fitness test alternative, when the use of heart rate is not appropriate. *Work*. 2010;36:333-37.
- [9] Andrade CHS, Cianci RG, Malaguti C, Corso SD. The use of step tests for assessment of exercise capacity in healthy subjects and in patients with chronic lung disease. *J Bras Pneumol*. 2012;38:116-24.
- [10] Dal Corso S, Duarte SR, Neder JA, Malaguti C, de Fuccio MB, de Castro Pereira CA, et al. A step test to assess exercise-related oxygen desaturation in interstitial lung disease. *Eur Respir J*. 2007;29:330-36.
- [11] Grosbois JM, Riquier C, Chehere B, Coquart J, Béhal H, Bart F, et al. Six-minute stepper test: a valid clinical exercise tolerance test for COPD patients. *Int J COPD*. 2016;11:657-63.
- [12] Basso RP, Jamami M, Pessoa BV, Labadessa IG, Regueiro EM, Di Lorenzo VA. Assessment of exercise capacity among asthmatic and healthy adolescents. *Braz J Phys Ther*. 2010;14:252-58.
- [13] Borel B, Fabre C, Saison S, Bart F, Grosbois JM. An original field evaluation test for chronic obstructive pulmonary disease population: the six-minute stepper test. *Clin Rehabil*. 2010;24:82-93.
- [14] Marrara KT, Marino DM, Jamami M, Oliveira AD Jr, Di Lorenzo VA. Responsiveness of the six-minute step test to a physical training program in patients with COPD. *J Bras Pneumol*. 2012; 38:579-87.
- [15] Coquart JB, Lemaître F, Castres I, Saison S, Bart F, Grosbois JM. Reproducibility and sensitivity of the 6-minute stepper test in patients with COPD. *COPD*. 2015;12:533-38.
- [16] da Costa JNF, Arcuri JF, Gonçalves IL, Davi SF, Pessoa BV, Jamami M, et al. Reproducibility of cadence-free 6-minute step test in subjects with COPD. *Respir Care*. 2014;59:538-42.
- [17] da Silva T, Raimundo RD, Ferreira C, Torriani-Pasin C, Monteiro CB, Theodoro OA Jr, et al. Comparison between the six-minute walk test and the six-minute step test in post stroke patients. *Int Arch Med*. 2013;6:31.
- [18] Arcuri JF, Borghi-Silva A, Labadessa IG, Sentanin AC, Candolo C, Pires Di Lorenzo VA. Validity and reliability of the 6-minute step test in healthy individuals: a cross-sectional study. *Clin J Sport Med*. 2016;26:69-75.
- [19] Borg GA. Psychophysical bases of perceived exertion. *Med Sci Sports Exerc*. 1982;14:377-81.
- [20] Matsudo S, Araújo T, Matsudo V, Andrade D, Andrade E, Oliveira LC, et al. International Physical Activity Questionnaire (IPAQ): study of validity and reliability in Brazil. *Braz J Phys Act Health*. 2012;6:05-18.
- [21] Myers J, Arena R, Franklin B, Pina I, Kraus WE, McInnis K, et al. Recommendations for clinical exercise laboratories: a scientific statement from the American Heart Association. *Circulation*. 2009;119:3144-61.
- [22] Boone J, Bourgois J. The oxygen uptake response to incremental ramp exercise: Methodological and physiological issues. *Sports Med*. 2012;42:511-26.
- [23] Howley ET, Bassett DR Jr, Welch HG. Criteria for maximal oxygen uptake: review and commentary. *Med Sci Sports Exerc*. 1995;27:1292-301.
- [24] Sykes K, Roberts A. The Chester step test - a simple yet effective tool for the prediction of aerobic capacity. *Physiotherapy*. 2004;90:183-88.
- [25] ATS Committee on Proficiency Standards for Clinical Pulmonary Function Laboratories. ATS statement: Guidelines for the six-minute walk test. *Am J Respir Critical Care Med*. 2002;166:111-17.
- [26] Carvalho LP, Di Thommazo-Luporini L, Leheudre MA, Bonjorno JC Jr, de Oliveira CR, Luporini RL, et al. Six-minute step test and its association with muscle strength and power in sedentary obese and lean young women: a cross-sectional study. *Plos One*. 2015;10:e0145960.
- [27] Pessoa BV, Arcuri JF, Labadessa IG, Costa JN, Sentanin AC, Di Lorenzo VA. Validity of the six-minute step test of free cadence in patients with chronic obstructive pulmonary disease. *Brazilian J Phys Ther*. 2014;18:228-36.

PARTICULARS OF CONTRIBUTORS:

1. PhD, Department of Physical Education, Londrina State University, Londrina, Parana, Brazil.
2. PhD, Laboratory of Physical Activity and Health Promotion, Rio de Janeiro State University, Rio de Janeiro, Brazil.
3. MSc, Laboratory of Physical Activity and Health Promotion, Rio de Janeiro State University, Rio de Janeiro, Brazil.
4. PhD, Department of Physical Education, Londrina State University, Londrina, Parana, Brazil.
5. PhD, Laboratory of Physical Activity and Health Promotion, Rio de Janeiro State University, Rio de Janeiro, Brazil.
6. PhD, Department of Physical Education, Londrina State University, Londrina, Parana, Brazil.

NAME, ADDRESS, E-MAIL ID OF THE CORRESPONDING AUTHOR:

Dr. Marcos Polito,
Rodovia Celso Garcia Cid km 380, Londrina, Parana, Brazil.
E-mail: marcospolito@uel.br

Date of Submission: **Mar 24, 2017**

Date of Peer Review: **May 23, 2017**

Date of Acceptance: **Mar 09, 2018**

Date of Publishing: **Jun 01, 2018**

FINANCIAL OR OTHER COMPETING INTERESTS: None.