Incidence of SHV-1 and CTX-M-15 Extended Spectrum of β-Lactamases Producing Gram-Negative Bacterial Isolates from Antenatal Women with Asymptomatic Bacteriuria

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## ABSTRACT

Microbiology Section

**Introduction:** Asymptomatic Bacteriuria (ASB) occurs in 2% to 10% of cases during pregnancy, the risk of onset increases between 9<sup>th</sup> to 17<sup>th</sup> weeks of gestation. ASB leads to adverse anomalies like acute pyelonephritis, low birth weight infants and premature delivery. The incidence of Extended Spectrum  $\beta$ -lactamases (ESBLs) e.g., blaCTX-M, blaSHV and blaTEM type producing uropathogenic bacteria have been increasing over the years which has lead to additional therapeutic burden to the patients.

**Aim:** To investigate the ESBL producing Multidrug Resistant (MDR) Gram negative bacteria and to ascertain the most prevalent ESBL gene among the antenatal women with asymptomatic bacteriuria.

**Materials and Methods:** A prospective cohort study involving 637 antenatal mother with asymptomatic bacteriuria was conducted. Their mid-stream clean catch urine sample were collected and processed. Gram-negative pathogens were isolated and phenotypically confirmed for ESBL production.

All the ESBL positive isolates were further screened by conventional Polymerse Chain Reaction (PCR) for the presence of blaCTX-M, blaSHV and blaTEM using gene specific primers and sequenced.

**Results:** From a total of 637 samples, 271 gram-negative pathogens were isolated. Phenotypically 37% (101) were ESBL producers. Out of these 101 isolates, 73%(74) were MDR isolates and none of them carried blaTEM. The presence of blaCTX-M and blaSHV were noted in 58% (59) and 4%(4) of isolates respectively. Sequence analysis confirmed them to be belonging to the same variant i.e., *blaCTXM-15* and *blaSHV-1*.

**Conclusion:** Occurrence of blaCTX M-15 and blaSHV-1 genes among the isolates reflects their prevalence within the community. Inappropriate, indiscriminate, inadequate antibiotics could be the source for wide dissemination of  $\beta$ -lactamases. Proper, adequate antenatal checkup with periodic urine examination will reduce the morbidity and mortality among antenatal mothers due to asymptomatic bacteriuria.

Keywords: Asymptomatic Bacteriuria, ESBL producers, Multidrug Resistant

# **INTRODUCTION**

During the past few decades, the unrestrained usage of antimicrobial agents for treating various infections, as growth promoters in animal industry, agricultural industry and in other food industries, have resulted in a worrisome development of emergence of antimicrobial resistance [1,2]. The MDR and Extreme Drug Resistant (XDR) pathogens have become a substantial therapeutic challenge to all the infection control practitioners in healthcare centres [3]. Persistent colonization of bacteria in urinary tract without symptoms is termed as Asymptomatic Bacteriuria (ASB), a subset of Urinary Tract Infection (UTI) and clinically applies to the presence of ≥100,000 CFU of a single organism [4].

The chances of acquiring bacteriuria vary during the course of pregnancy and it ranges from 0.8% in the early weeks to 1.93% towards the end of pregnancy [5]. UTI are more common during pregnancy due to the physiological and morphological changes happening in these patients [6]. Smooth muscle relaxation results in decreased peristalsis of the ureters, increased bladder capacity and urinary stasis [7]. In addition, change in urinary pH, aminoaciduria, pregnancy-induced glycosuria will promote bacterial growth [8]. Significant reduction in immune responses and also increase in plasma volume during pregnancy supports the growth of both commensal and pathogenic bacteria [7]. However, the outcomes of ASB are very critical which includes maternal and fetal morbidity and mortality [9]. Following appropriate treatment, the risk of pyelonephritis and low birth weight infant declines in 25-35% of asymptomatic bacteriuria cases [10].

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Ampicillin, Amoxicillin, Nitrofurantoin, Trimethoprimsulfamethoxazole, Cefuroxime, Cephalexin, and other oral cephalosporins were the drugs of choice for treating ASB, but production of ESBL through acquisition of ESBL encoding genes by these Gram Negative Bacilli (GNB), have made these antimicrobials ineffective [11]. MDR Gram-negative bacilli of the Enterobacteriaceae family have been increasingly responsible for infections among neonates causing both early and late onset sepsis [12]. Hence, it becomes indispensable to conduct a proper antenatal screening to prevent the untoward effects of UTI. In recent times as there is an increase in the resistant pathogens among the community, scrutiny of their antibiogram with molecular analysis would enable the clinicians to tackle these issues in the near future. Thus, this study was aimed to investigate the incidence of ASB among the antenatal mothers and the prevalence rate of ESBL production among the gram-negative isolates, which is followed by their molecular characterization.

# MATERIALS AND METHODS

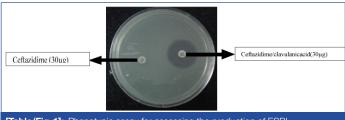
A prospective cohort study was conducted at Mahatma Gandhi Medical College and Research Institute (MGMCRI), a tertiary care teaching hospital in Puducherry, India, over a period of three months from June 2015 to August 2015. All antenatal women visiting the Antenatal Clinic (ANC) during the study period, for their routine checkup for safe confinement with no signs of UTI e.g., no fever, chills with rigor, burning micturition, Ioin pain, dysuria etc., were included in this study. During the routine periodic out-patient ANC checkup, their mid-stream clean catch urine was collected in a sterile container (morning sample) and was processed. Following wet mount urine examination, urine samples with significant number of WBCs and bacteria were then got plated and further studied. Pregnant women who were on antibiotic treatment two weeks prior to their initial visit were excluded from the investigation [13]. Those pregnant women with clinical signs and symptoms of UTI, pregnancy induced diabetes mellitus, hypertension, congenital anomalies of the urinary tract and the women at 38 weeks of gestation were excluded.

Institutional Ethical Committee (IEC) clearance was obtained for this study. A total of 637 antenatal women with asymptomatic bacteriuria were screened during the study period. From all the study population informed consent was received. The patients were provided with sterile universal containers for sample collection. They were instructed to collect their clean catch mid-stream urine during the outpatient consulting hours [14,15].

**Methodology:** Using calibrated loop (measures 1.3mm diameter, delivering 1 µL) urine samples were inoculated into blood agar and Cysteine Lactose Electrolyte Deficient agar (CLED) medium and incubated overnight at 37°C. The samples with significant colony count ( $\geq 10^5$  CFU/mL) were further processed for biochemical identifications and antibiotic susceptibility testing. The antibiogram of the organisms to the routinely used antibiotics such as amikacin, cefotaxime, cefoperazone/sulbactam, colistin, cotrimoxazole, gentamycin, imipenem, meropenem, nalidixic acid, nitrofurantoin, norfloxacin and polymyxin B was determined by Kirby–Bauer disk diffusion test [16]. Gram-negative isolates which are resistant/ intermediate to third generation cephalosporins were further tested for ESBL production.

**Phenotypic ESBL Detection:** Phenotypic detection of ESBL producers was carried out using Ceftazidime 30  $\mu$ g (CAZ) and ceftazidime with clavulanic acid 10  $\mu$ g (CAC) disks. The Mueller-Hinton agar plates were inoculated and a disk of ceftazidime 30  $\mu$ g (CAZ) and ceftazidime with clavulanic acid 10  $\mu$ g (CAC) were placed 25 mm apart [Table/Fig-1]. The plates were incubated aerobically at 37°C. Isolates showing significant increase ( $\geq$ 5 mm) in a zone diameter in clavulanic acid combination versus ceftazidime alone were confirmed to be ESBL producers [16-18]. *K. pneumoniae* ATCC<sup>®</sup> 700603 TM (positive control) and *E. coli* ATCC<sup>®</sup> 25922 TM (negative control) were used for quality control [16].

PCR and Sequencing of ESBL Amplicons: Phenotypically confirmed isolates with ESBL activity were screened by conventional PCR for the presence of cefotaxime hydrolyzing capabilities (CTX-M), sulfhydryl Variable (SHV) and Temoneira (TEM) encoding genes



[Table/Fig-1]: Phenotypic assay for assessing the production of ESBL. Isolates showing significant increase ≥ 5mm in a zone diameter in clavulanic acid combination versus ceftazidime alone were confirmed to be ESBL producers.(e.g. ceftazidime zone =6mm; Ceftazidime-clavulanic acid zone=15mm). using gene specific primers mentioned in [Table/Fig-2] [18]. PCR conditions followed were 95°C for 5 minutes, 30 cycles with 95°C for 30 seconds, 55°C for 30 seconds, 72°C for 90 seconds and final extension at 72°C for 7 minutes. The amplicons were purified using

S. No	β-lactamase gene	Primer name	Primer sequence (5'- 3')	Amplicon sizes (bp)		
1.	blaCTX-M	CTX-M F CTX-M R	TCTTCCAGAATAAGGAATCCC CCGTTTCCGCTATTACAAAC	909		
2.	blaSHV	SHV F SHV R	TGGTTATGCGTTATATTCGCC GGTTAGCGTTGCCAGTGCT	868		
3.	blaTEM	TEM F TEM R	TCTTGGTCTGACAGTTACCAATGC TTGGTCTGACAGTTACCAATGC	931		
<b>[Table/Fig-2]:</b> List of primers used for screening and sequencing of ESBL encoding genes.						

Gene JET PCR clean up kit (Thermo Scientific, USA) and sent for sequencing to Macrogen Inc. Seoul, South Korea. The sequence chromatograms were checked and verified using Chromaslite 2.1. The sequences were analysed using BLAST and the allelic variants were identified [19].

# RESULTS

A total of 101 (37%) ESBL producing GNB were isolated out of 271 Gram negative isolates, from 637 suspected asymptomatic bacteriuria antenatal mother's mid-stream urine samples. Among these ESBL producers, 58% were *Escherichia coli* followed by *Klebsiella pneumoniae* (24%), Non Fermenting Gram Negative bacilli (NFGNB) (10%) and *Citrobacter freundii* (8%) as identified by phenotypic ESBL detection method. A larger proportion of the ESBL producers (73%) were found to be MDR isolates.

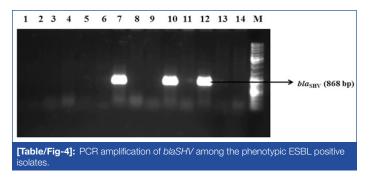
Resistogram analysis of these ESBL producing Gram-negative isolates by Kirby-Bauer disk diffusion method showed a wide range of resistance (74%-100%). Maximum resistance towards nitrofurantoin (100%) and nalidixic acid (86%) were observed among the NFGNB and *E.coli* isolates respectively. Antibiotic susceptibility/resistance pattern of these isolates against routinely used antibiotics were given in the [Table/Fig-3]. All these isolates showed very significant percentage of susceptibility towards carbapenems, Beta lactam/Beta lactamase Inhibitor (BL/BLI) combination, colistin and polymyxin B.

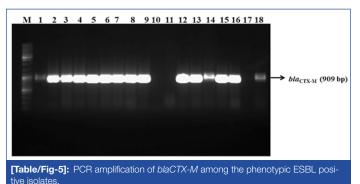
PCR screening for the ESBL genes of all the phenotypic ESBL positive isolates confirmed that the incidence of *blaCTX-M* and blaSHV genes were 58%(59) and 4%(4), none of them showed amplification of blaTEM [Table/Fig-4 and 5]. The co-existence of blaCTX-M and blaSHV genes was observed among 19% (19) of the ESBL positive isolates. Among the E. coli ESBL producers, 67% (40) of them showed the presence of blaCTX-M and the co-production of blaCTX-M and blaSHV was observed only in 12%(7) of these isolates. In case of K. pneumoniae isolates, 33%(8) showed positive amplification for blaCTX-M and 50%(12) was found to carry both blaCTX-M and blaSHV. Fifty percent(5) of NFGNB carried blaCTX-M and 20% (2) showed blaSHV. Citrobacter freundii showed blaCTX-M alone in 75% (6) of isolates [Table/Fig-6]. Further, sequence analysis of blaCTX-M and blaSHV amplicons identified that all of them belong to a single allelic variant namely blaCTX-M-15 and blaSHV-1. The sequence variants of *blaCTX-M* and *blaSHV* genes identified from this study were submitted to Genbank and the accession numbers were obtained (MG989244-MG989270).

	GEN*	СОТ	СТХ	AK	IMP	MRP	CFS	PB	CL	NA	NX	NIT
E.coli (59)	81%	71%	100%	43%	3%	4%	16%	0%	0%	86%	71%	33%
K.pneumoniae (24)	60%	64%	100%	42%	12%	17%	26%	0%	0%	50%	55%	78%
C. freundii (8)	87%	87%	100%	75%	0%	0%	0%	0%	0%	37%	75%	62%
NFGNB (10)	40%	50%	100%	40%	22%	11%	11%	0%	0%	50%	60%	100%

[Table/Fig-3]: Antibiotic resistance pattern of ESBL positive Gram Negative Bacilli isolates. \*GEN- Gentamycin, COT- Cotrimoxazole, CTX-Cefotaxime, AMK- Amikacin, IMP- Imipenem, MRP- Meropenem, CFS- Cefoperazone/Sulbactam, PB- Polymyxin B, CL- Colistin, NA- Nalidixic acid, NX-

Norfloxacin, NIT- Nitrofurantoin, NFGNB- Non-fermentative gram-negative bacilli





Gene name	Escheri- chia coli (n=59)	Klebsiella pneumo- niae (n=24)	NFGNB (10)	Cit- robacte- rfreundii (8)	Total (n=101)		
CTX-M	40 (67%)	8(33%)	5(50%)	6(75%)	59 (58%)		
SHV	2(3%)	-	2(20%)	-	4 (4%)		
TEM	-	-	-	-	-		
CTX-M+SHV	7(12%)	12(50%)	-	-	19 (19%)		
TEM/TEM+CTX-M/ TEM+SHV/ TEM+SHV+CTX-M	-	-	-	-	-		
None of the three genes	10(18%)	4(17%)	3(30%)	2(25%)	19 (19%)		
Total	59	24	10	8	101		
<b>[Table/Fig-6]:</b> Distribution of $\beta$ -lactamase genes among the ESBL producing isolates.							

## DISCUSSION

Asymptomatic Bacteriuria (ASB) is very frequently observed among the pregnant woman and may lead to complications if left untreated [8]. The more severe and most common complications such as low birth weight, stillbirth, anaemia and sepsis during pregnancy necessitates the need to treat ASB at the earliest [9]. MDR is defined as non-susceptibility to at least one agent in three or more antimicrobial categories [20]. ASB with MDR isolates further complicates the outcomes during the course of treatment [21]. This study was carried out to ascertain the prevalence of MDR isolates among the ASB pregnant woman in a tertiary care hospital at Puducherry, India. Among the isolates collected from ASB pregnant women, the incidence of ESBL production occupies very significant predominance (37%). Alarmingly, 73% of them were multidrug resistant isolates. The overall reported incidence of ESBL in GNB ranges from 6 to 87% in India [9].

Persistence of MDR pathogens among the community and healthcare settings might be because of various factors like, antibiotic practicing policy, type of infections, nature of diseases, duration of hospital stay, level of aseptic procedures, environment, history of antibiotic usage and others. As a result of it, the prevalence of MDR pathogens have peaked drastically nowadays thus creating a substantial therapeutic burden to the infection control practices in almost all the health-care facilities, leading to marked increase in the morbidity and mortality. Extensive usage of third generation cephalosporins and aztreonam was proven to be the cause for the emergence of resistant isolates carrying TEM and SHV *β*-lactamase encoding genes [22]. The overuse of ceftriaxone and horizontal transfer events were found to be the driving factor for the dissemination of CTX-M type of ESBL among the community [23]. Co-existence of all the three genes would lead to higher manifold of resistance to currently available drugs and their wide dissemination is of great concern for empirical management [24]. Unfortunately, together with their occurrence, if impermeability also accompanies, it may lead to even carbapenem resistance, which could be highly troublesome sign [25]. Though the number of molecular studies from India reporting the prevalence of ESBL producing K. pneumoniae and E.coli are scanty, a recent investigation from northern part of India reported maximum ESBL production among K. pneumoniae (52%), followed by E.coli (46%) [26]. These observations are not matching with our results as we found E. coli to be the predominant ESBL producer. And in contrast to our findings, E.coli and Klebsiella spp. exhibited comparatively less resistance to amikacin and nitrofurantoin (14% and 18% in E.coli, 43% and 34% in K. pneumoniae) [26]. One recent study by Manoharan A et al., reported susceptibility of the isolates of the family Enterobacteriaceae towards imipenem (100%), meropenem (100%), amikacin (90%) and piperacillin/tazobactam (85%) which was almost similar to our results [24]. Similar to the above observations, all our isolates showed significantly less resistance towards carbapenems, BL-BLI combinations and monobactam.

The prevalence of ESBL encoding genes varies based on the study population and region. Among various ESBL class  $\beta\text{-lactamase}$ genes, blaCTX-M, blaSHV and blaTEM were found to be more common in India [27]. In our study, the sequence analysis of ESBL genes revealed predominant presence of *blaCTX-M-15* (58%) followed by blaSHV-1 (4%). Interestingly, blaTEM was completely absent and some of the isolates had combination of both blaCTX-M-15 and blaSHV-1 (19%). Studies from various parts of the globe showed varied predominance of genes among the ESBL producers. A study from Korea reported the presence of blaCTX-M-15 gene only in 6.7% of isolates out of 163 ESBL producers [28]. Though their percentage was very less, the existence of other blaCTX-M variants such as *blaCTX-M-3*, *blaCTX-M-9* and *blaCTX-M-14* was witnessed [28]. But, in our investigation only blaCTX-M-15 was detected, which is very significant finding. This suggests that a single variant is predominantly disseminating in our community. A study on ESBL producers among Lebanese population has revealed the presence of blaCTX-M-15 (80%), blaSHV (31%) and blaTEM (68%) reflecting the global dissemination that includes India [29]. Shahid M et al., from India reported the presence of *blaCTX-M* (29%) and blaSHV (14%) among the isolates of Enterobacteriaceae isolated from urine [30]. Though we couldn't find the presence of blaTEM from our samples, a study conducted from central India reported blaTEM as the predominant ESBL encoding gene (48.7%), followed by blaCTX-M (7.6%) and blaSHV (5.1%) [31].

The individual incidence of *blaCTX-M* among our *E. coli* and *K. pneumoniae* isolates are in the order of 67% and 33% respectively. However, *blaSHV* was present only in *E. coli* (3%) and absent in *K. pneumoniae*. A study from Sudan described blaCTX-M to be present in 71% of *E. coli* and 68% of *Klebsiella spp*. isolates, wherein blaSHV was seen in 6% of *E. coli* and 63% of *Klebsiella spp* [32]. Though few of our *E. coli* isolates carried *blaSHV*, complete absence of *blaSHV* in Klebsiella spp. indicates their inability of quick dissemination across the species, when compared to *blaCTX-M* in our region. Contradictorily, Chandra V and Goswami M, from Gujarat, India reported 44% *E. coli* with *blaTEM* gene and 4% with both *blaTEM* and *blaSHV* genes [33]. Among their Klebsiella spp. isolates, 76% were found to carry both *blaSHV* and *blaTEM* genes, 20% and 4% showed amplification for *blaSHV* and *blaTEM* genes alone respectively [33].

When compared to all the previous reports from India, we found a steep increase in the percentage of *blaCTX-M* and *blaSHV*, which indicates the dissemination of these genes are increasing exponentially in the recent years [30,31]. Although *blaTEM* is predominant in central part of India, we couldn't get any isolate to be positive for this gene. This is a noteworthy observation which is unexplainable. Fecal carriage of these organisms might be the major reason for wide dissemination and increased prevalence of ESBL producers poses a great risk for efficient treatment. As a result of improper treatment the complications associated with ASB results in increased morbidity and mortality. Lastly, *blaCTX-M* producing *E. coli* is in high predominance resulting in bacteriuria, which leads to significant mortality that is of great concern [34].

### LIMITATION

Sample size was small to emphasis more on the findings due to the short duration of study period aimed. Due to the limited resource availability and financial constraints, this study was focused only on *blaCTX-M*, *blaTEM* and *blaSHV/ESBL* genes. Moreover, this study was carried out using samples collected from a single hospital and it may not reflect the overall global scenario.

### CONCLUSION

With adequate and complete periodic antenatal check-up during all the three trimesters will effectively decrease the complications due to ASB. The incidence of MDR and ESBL producing isolates is a challenge to the clinician to manage and monitor the safe confinement during pregnancy. The ESBL incidence and different types of ESBL gene distribution will directly reflect the prevalence of these resistant genes among the community. Thus, it sounds that, there is an urgent need for the formulation of regional antimicrobial practicing policy by the stakeholders to implement and to monitor, so that some of the currently available antibiotics can be preserved for future long term needs.

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